

| TRANSMITTAL LETTER | | | Case No. 10098/6 |
|--|----------------------------------|---------------------|------------------------|
| Serial No. 09/475,637 | Filing Date December 30, 1999 | Examiner A. Rudy | Group Art Unit 3627 |
| Inventor(s) Tripp et al. | | | |
| Title of Invention SIMULTANEOUS REAL-TIME ACCESS TO FINANCIAL INFORMATION | | | |

TO THE COMMISSIONER FOR PATENTS

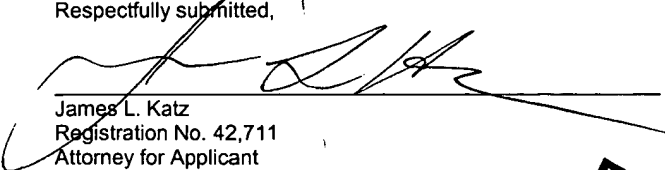
Transmitted herewith is Amendment and Response; Figures 4A-4P; Postcard receipt.

- ☐ Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.
☐ A verified statement to establish small entity status under 37 CFR §§ 1.9 and 1.27 is enclosed.
☐ Petition for a _____ month extension of time.
☒ No additional fee is required.
☐ The fee has been calculated as shown below:

| | | | | Small Entity | | or | Other Than Small Entity | |
|---|----------------------------------|-------|---------------------------------|---------------|-----------------|-----------|-------------------------|-----------|
| | Claims Remaining After Amendment | | Highest No. Previously Paid For | Present Extra | Rate | Add'l Fee | Rate | Add'l Fee |
| Total | | Minus | | | x \$9= | | x \$18= | |
| Indep. | | Minus | | | x 42= | | x \$84= | |
| First Presentation of Multiple Dep. Claim | | | | | +\$140= | | +\$280= | |
| | | | | | Total add'l fee | \$ | Total add'l fee | \$ |

- ☐ Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$_____. A duplicate copy of this sheet is enclosed.
☐ A check in the amount of \$_____ to cover the filing fee is enclosed.
☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
☒ I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

Respectfully submitted,


 James L. Katz
 Registration No. 42,711
 Attorney for Applicant

BRINKS HOFER GILSON & LIONE
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 CHICAGO, ILLINOIS 60610
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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on December 23, 2002.

Date: 12/23/02 Signature: 

rev. Dec.-00

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